

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

ISHIMARU, et al.

Application No. 10/500,169



Group Art Unit: not known

Examiner: not known

Priority claimed from: Japanese application 2001-394435 (filed December 26, 2001), and PCT International application PCT/JP02/13630 (filed December 26, 2002)

Filed: June 23, 2004

For: BASE ISOLATION DEVICE FOR A STRUCTURE

* * * * *

September 21, 2004

STATEMENT ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents
And Trademarks
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In connection with the above-identified application, we submit herewith an Information Disclosure Statement and PTO-1449 listing nine references. We provide the following information regarding non-English language references cited therein.

Reference JP 10-220526 is in Japanese, but a translation of at least the relevant portions is provided.

Reference JP 11-229319 is in Japanese, but a translation of at least the relevant portions is provided, and this reference is cited in the International Search Report (also provided) for the PCT international application from which this current U.S. application is claims priority.

Reference JP 6-174009 is in Japanese, but a translation of at least the relevant portions is provided, and this reference is cited in the International Search Report (also

provided) for the PCT international application from which this current U.S. application is claims priority.

Reference JP 11-229663 is in Japanese, but this reference is cited in the International Search Report (also provided) for the PCT international application from which this current U.S. application is claims priority.

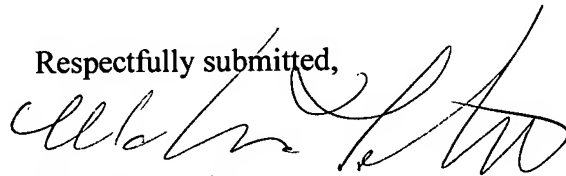
Reference JP 27-90185 is in Japanese, but a translation of at least the relevant portions is provided, and this reference is cited in the International Preliminary Examination Report (also provided) for the PCT international application from which this current U.S. application is claims priority.

Reference JP 10-169244 is in Japanese, but this reference is cited in the International Preliminary Examination Report (also provided) for the PCT international application from which this current U.S. application is claims priority.

Reference JP 29-88882 is in Japanese, but a translation of at least the relevant portions is provided, and this reference is cited in the International Preliminary Examination Report (also provided) for the PCT international application from which this current U.S. application is claims priority.

Entry and full consideration of all of these references is respectfully requested.

Respectfully submitted,



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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
Hori-101

In Re Application Of: **Ishiharu et al.**

SEP 23 2004

Application No.

10/500,169

Filing Date

June 23, 2004

Examiner

not known

Customer No.

000041022

Group Art Unit

not known

Confirmation No.

not known

Title: **Base Isolation Device for a Structure**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
Hori-101

In Re Application: Ishimaru et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/500,169	June 23, 2004	not known	000041022	not known	not known

Title: Base Isolation Device for a Structure

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 500867 as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 Sept. 21, 2004".

(Date)

Signature of Person Mailing Correspondence

Marlana Titus

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: September 21, 2004

Marlana Titus, Reg. No. 35,843
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CC:

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				Docket Number (Optional) Hori-101		Application Number 10/500,169	
				Applicant(s) Ishimaru et al.			
				Filing Date June 23, 2004		Group Art Unit not known	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	A	JP 10-220526	8/21/98	Japan	F16F	E04H	✓	
	B	JP 11229319	8/24/99	Japan	E01D1/0	E01D19	✓	
	C	JP 6174009	6/21/94	Japan	F16F15	E01D1	✓	
	D	JP 11-229663	8/24/99	Japan	E04H	E04B		✓
	E	JP 27-90185	6/12/98	Japan	F16F		✓	

OTHER DOCUMENTS		(Including Author, Title, Date, Pertinent Pages, Etc.)
F		International Search Report for corresponding PCT application PCT/JP02/13630, mailed April 22, 2003 (1 page)(citing JP 11-229319, JP 6-174009, and JP 11-229663).
G		International Preliminary <i>Examination</i> Search Report for corresponding PCT application PCT/JP02/13630, mailed March 16, 2004 (6 pages)(citing JP27-90185, JP 11-229663, JP 10-169244, and JP 29-88882).

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

SEP 23 2004

Docket Number (Optional)

Hori-101

Application Number

10/500,169

Applicant(s)

Ishimaru et al.

Filing Date

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Group Art Unit

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	H	JP 10-169244	6/23/98	Japan	E04H	F16F		✓
	I	JP 29-88882	8/21/98	Japan	F16F	E04H	✓	

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED

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